

1713



Docket No. 205892US0PCT  
 IN RE APPLICATION OF: Anton NEGELE, et al.  
 SERIAL NO: 09/830,566  
 FILED: May 7, 2001  
 FOR: AQUEOUS DISPERSIONS OF WATER-SOLUBLE POLYMERS OF N-VINYL CARBOXYLIC ACID AMIDES, METHOD FOR THE PRODUCTION AND USE THEREOF

ASSISTANT COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

**RECEIVED**  
 DEC 05 2002  
**TC 1700**

SIR:  
 Transmitted herewith is an amendment in the above-identified application.  
☒ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☒ Additional documents filed herewith: Marked-Up Copy

The Fee has been calculated as shown below:

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CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	10	MINUS	20	0	x \$18 =	\$0.00	
INDEPENDENT	2	MINUS	3	0	x \$84 =	\$0.00	
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity					\$0.00
		<input type="checkbox"/> Recordation of Assignment				+ \$40 =	\$0.00
		TOTAL					\$0.00

- ☐ A check in the amount of **\$0.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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*entered in part*

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :

ANTON NEGELE ET AL

: EXAMINER: REDDICK, M. L.

SERIAL NO: 09/830,566

:

FILED: MAY 7, 2001

: GROUP ART UNIT: 1713

FOR: AQUEOUS DISPERSIONS OF  
WATER-SOLUBLE POLYMERS  
OF N-VINYL CARBOXYLIC  
ACID AMIDES, METHOD FOR THE  
PRODUCTION AND USE THEREOF

*#7/B  
Hedg  
1/2/02*

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AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the Official Action mailed September 10, 2002, please amend the  
subject application as follows:

IN THE SPECIFICATION

Please replace the paragraph starting at page 6, line 36 and ending at page 6, line 43,  
with the following:

*ne*  
*↓*  
are polymerized at from 40 to 55°C with water-soluble azo initiators. Suitable polymeric  
dispersants (B) are preferably polyethylene glycol, polypropylene glycol, copolymers of  
ethylene glycol and propylene glycol, polyvinyl acetate, polyvinyl alcohol, polyvinylpyridine,  
polyvinylimidazole, polyvinylsuccinimide, a 1:1 molar ratio copolymer of N-vinylcaprolactam